

8-10-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 13167US01)

J1046 U.S. PTO  
09/925241  
08/08/01

A

In the Application of:

Shao Fang, et al.

Serial No.: unassigned

Filed: herewith

For: RULE-BASED  
PERSONALIZATION  
FRAMEWORK

EXPRESS MAIL NO. EL541890557US

DATE: August 8, 2001

By: Jeffrey D. Wheeler  
Reg. No. 39,066

TRANSMITTAL LETTER

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of inventors:

Shao Fang, Kiran Prabhakar, Venkat R. Srinivasan, Tushar Shanbhag, Vinay M. Srinivasaiah and Bobby Berchmans.

For: RULE-BASED PERSONALIZATION FRAMEWORK

1. Enclosed are:

- ☒ [X] A Combined Declaration and Power of Attorney, signed facsimile copy.
- ☒ [X] 17 sheets of informal drawings, Figs. 1-20.
- ☒ [X] 25 pages of specification, 1 page of abstract, and 3 pages of claims (1-20).
- ☐ [ ] An executed Assignment (including Recordal cover sheet and \$40.00 fee).
- ☐ [ ] An associate power of attorney.
- ☐ [ ] A Transmittal of Information Disclosure Statement and its enclosures.
- ☐ [ ] Priority Document

2. Benefit of the filing date of each priority document or U.S. provisional patent application listed below (if any) is claimed under 35 U.S.C. Section 119 and Section 120:

<u>Docket No.</u>	<u>Country</u>	<u>Appl'n. Serial No.</u>	<u>Filing Date</u>
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3. The filing fee has been calculated as shown below, based on the assignee's status as a small entity:

	<b>Claims Present</b>		<b>Extra Claims</b>	<b>Rate Per Extra Claim</b>	<b>Total Fee</b>
Total Claims	20	- 20 =	0	x \$ 9.00	.00
Indep Claims	3	- 3 =	0	x \$40.00	.00
Basic Fee					\$355.00
Multiple dependent claim presented (\$135 if any present)					.00
Total filing fee					.00

4. The following arrangements have been made to pay the filing fee:

- ☒ A check in the amount of \$355.00 to cover the filing fee is enclosed.
- ☐ Please charge our Deposit Account No. 13-0017 in the amount of \$.
- ☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required under 37 C.F.R. 1.16 for this application and for any amendment thereto, or credit any overpayment to Account No. 13-0017.

5. The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 13-0017.

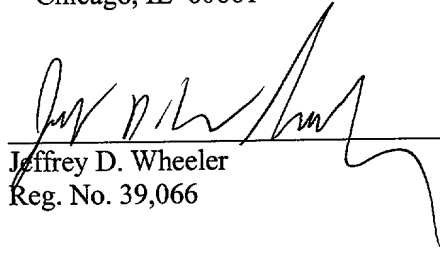
- ☐ No charges are authorized.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.

6. Please address all telephone calls to: Jeffrey D. Wheeler  
at telephone No. (312) 775-8000.

7. Please address all correspondence to:

McAndrews, Held & Malloy, Ltd.  
500 W. Madison St., 34th Floor  
Chicago, IL 60661

Date: August 8, 2001

  
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Jeffrey D. Wheeler  
Reg. No. 39,066

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